

# AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

Name of Agency: Philippine Council for Agriculture and Fisheries (PCAF)  
Name of Respondent: Ken Ryan P. Eleazar

Date: 03/25/24  
Position: BAC Secretariat Head

*Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.*

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website  
please provide link: <https://www.pcaf.da.gov.ph/wp-content/uploads/2023/01/APP-FY-2023.pdf>
- Submission of the approved APP to the GPPB within the prescribed deadline  
please provide submission date: January 31, 2023

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually  
please provide submission date: September 14, 2022
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- Original contract awarded through competitive bidding
- The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- The quantity of each item in the original contract should not exceed 25%
- Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- Transmittal of the Pre-Selected List by the HOPE to the GPPB
- Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;
- Minutes of pre-bid conference are readily available within five (5) days.

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6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the following conditions? (3e)

- The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity
- No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment
- Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

- Office Order creating the Bids and Awards Committee  
 please provide Office Order No.: S.O. No. 007, Series of 2023 dated January 12, 2023 and amendment S.O. No. 131, Series of 2023 dated May 29, 2023
- There are at least five (5) members of the BAC  
 please provide members and their respective training dates:
 

Name/s	Date of RA 9184-related training
A. <u>Melinda T. Quinones</u>	<u>10/11-12/23</u>
B. <u>Francia T. Macalintal</u>	<u>10/11-12/23</u>
C. <u>Marisa R. Lo</u>	<u>10/11-12/23</u>
D. <u>Maria Belen A. Milanes</u>	<u>10/11-12/23</u>
E. <u>Jahensi E. Supanga</u>	<u>10/11-12/23</u>
F. _____	_____
G. _____	_____
- Members of BAC meet qualifications
- Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

- Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat  
 please provide Office Order No.: S.O. No. 007, Series of 2023 dated January 12, 2023 and amendment S.O. No. 131, Series of 2023 dated May 29, 2023
- The Head of the BAC Secretariat meets the minimum qualifications  
 please provide name of BAC Sec Head: Merlyn R. Galdonez (June 9, 2022 to Jan. 31, 2023);  
Ken Ryan P. Eleazar (February 1, 2023 - January 31, 2024)
- Majority of the members of BAC Secretariat are trained on R.A. 9184  
 please provide training date: 10/11-12/23

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Computer Monitors, Desktop Computers and Laptops | <input type="checkbox"/> Paints and Varnishes                             |
| <input checked="" type="checkbox"/> Air Conditioners                                 | <input checked="" type="checkbox"/> Food and Catering Services            |
| <input type="checkbox"/> Vehicles  | <input checked="" type="checkbox"/> Training Facilities / Hotels / Venues |
| <input type="checkbox"/> Fridges and Freezers  | <input type="checkbox"/> Toilets and Urinals                              |
| <input type="checkbox"/> Copiers   | <input checked="" type="checkbox"/> Textiles / Uniforms and Work Clothes  |

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

- Yes                       No

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9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website  
please provide link: http://www.pcaf.da.gov.ph/

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB  
please provide submission dates: 1st Sem - July 12, 2023 2nd Sem - January 11, 2024

PMRs are posted in the agency website  
please provide link: <https://www.pcaf.da.gov.ph/wp-content/uploads/2023/07/FY-2023-1st-Semester-PMR.pdf>  
<https://www.pcaf.da.gov.ph/wp-content/uploads/2024/01/PMR-as-of-December-31-2023->

PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: 10/11/2023-10/12/2023

Head of Procuring Entity (HOPE)

Bids and Awards Committee (BAC)

BAC Secretariat/ Procurement/ Supply Unit

BAC Technical Working Group

End-user Unit/s

Other staff

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year

The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

There is a list of procurement related documents that are maintained for a period of at least five years

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- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes  No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors  
Name of Civil Works Supervisor: \_\_\_\_\_
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)  
Name of CPES Evaluator: \_\_\_\_\_

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) \_\_\_\_\_ 5 \_\_\_\_\_ days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
  - B. Shortlisting (For Consulting Services Only)
  - C. Pre-bid conference
  - D. Preliminary examination of bids
  - E. Bid evaluation
  - F. Post-qualification
- Observers are invited to attend stages of procurement as prescribed in the IRR
  - Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
  - Observer reports, if any, are promptly acted upon by the procuring entity

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency  
Agency Order/DBM Approval of IAU position/s: \_\_\_\_\_
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

S.O. #098 dated June 10, 2021 and was revoked on October 24, 2022 under S.O. #222 Series 2022; S.O. No. 334, Series 2023 dated October 17, 2023 re: Reconstitution of ISO 9001:2015 Certification Core Team, which includes Internal Quality Auditors



**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: Philippine Council for Agriculture and Fisheries (PCAF)  
 Date of Self Assessment: 25-Mar-24

Name of Evaluator: JULIETA E. OPULENCIA  
 Position: OIC - Executive Director

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and Subindicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
<b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>					
<b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>					
1.1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	12.63%	0.00		PMRs
1.1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	1.06%	0.00		PMRs
<b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>					
2.2.a	Percentage of shopping contracts in terms of amount of total procurement	7.79%	0.00		PMRs
2.2.b	Percentage of negotiated contracts in terms of amount of total procurement	70.79%	0.00		PMRs
2.2.c	Percentage of direct contracting in terms of amount of total procurement	1.40%	2.00		PMRs
2.2.d	Percentage of repeat order contracts in terms of amount of total procurement	1.78%	2.00		PMRs
2.2.e	Compliance with Repeat Order procedures	Compliant	3.00		Procurement documents relative to conduct of Repeat Order
2.2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
<b>Indicator 3. Competitiveness of the Bidding Process</b>					
3.3.a	Average number of entities who acquired bidding documents	1.33	0.00		Agency records and/or PhilGEPS records
3.3.b	Average number of bidders who submitted bids	1.00	0.00		Abstract of Bids or other agency records
3.3.c	Average number of bidders who passed eligibility stage	1.00	1.00		Abstract of Bids or other agency records
3.3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		<b>Average I</b>	<b>1.17</b>		
<b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>					
<b>Indicator 4. Presence of Procurement Organizations</b>					
4.4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
<b>Indicator 5. Procurement Planning and Implementation</b>					
5.5.a	An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
5.5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
<b>Indicator 6. Use of Government Electronic Procurement System</b>					
6.6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records
<b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>					
7.7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
7.7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
		<b>Average II</b>	<b>2.70</b>		

<b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>					
<b>Indicator 8. Efficiency of Procurement Processes</b>					
24	8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	91.44%	3.00	APP (including Supplemental amendments, if any) and PMRs
25	8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	66.67%	0.00	APP(including Supplemental amendments, if any)and PMRs
26	8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00	Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services  Contracts with amendments and variations to order amount to 10% or less
<b>Indicator 9. Compliance with Procurement Timeframes</b>					
27	9.a	Percentage of contracts awarded within prescribed period of action to procure goods	100.00%	3.00	PMRs
28	9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	n/a	n/a	PMRs
29	9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a	PMRs
<b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b>					
30	10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00	Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
31	10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	3.00	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
32	10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00	Ask for copies of documentation of activities for bidders
<b>Indicator 11. Management of Procurement and Contract Management Records</b>					
33	11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
34	11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00	Verify actual contract management records and time it took to retrieve records should be no more than two hours
<b>Indicator 12. Contract Management Procedures</b>					
35	12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00	Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation forms
36	12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00	Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
				<b>Average III</b>	<b>2.73</b>
<b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>					
<b>Indicator 13. Observer Participation in Public Bidding</b>					
37	13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00	Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
<b>Indicator 14. Internal and External Audit of Procurement Activities</b>					
38	14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00	Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
39	14.b	Audit Reports on procurement related transactions	Above 90-100% compliance	3.00	Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
<b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>					
40	15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00	Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints
<b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>					
41	16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00	Verify documentation of anti-corruption program
				<b>Average IV</b>	<b>3.00</b>
<b>GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)</b>				<b>2.40</b>	

\* APCPI Rating is based on the APCPI Rating System found in Annex C of the User's Guide. Please use this rating system for the self-assessment. After completing the assessment, identify those indicators with ratings of between 0 to 2 and formulate a procurement capacity development plan called the APCPI Action Plan based on the attached format and submit to GPPB for monitoring.

\* For sub-indicators that are not applicable to your specific agency, please write the word Not Applicable in the second column and do not put a rating

**Summary of APCPI Scores by Pillar**

APCPI Pillars	Ideal Rating	Agency Rating
Pillar I I Legislative and Regulatory Framework	3.00	1.17
Pillar II II Agency Institutional Framework and Management Capacity	3.00	2.70
Pillar III III Procurement Operations and Market Practices	3.00	2.73
Pillar IV IV Integrity and Transparency of Agency Procurement Systems	3.00	3.00
<b>Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4</b>	<b>3.00</b>	<b>2.40</b>



Prepared by:

**KEN RYAN P. CAZAR**  
Head, BAC Secretariat

Recommending Approval:

**MELINDA T. QUINONES**  
BAC Chairperson

Approved By:

**SOLIE T. E. OPULENCIA**  
OIC, Executive Director

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**ANNEX B  
GOVERNMENT PROCUREMENT POLICY BOARD  
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: **Philippine Council for Agriculture and Fisheries**

Period Covered: **Q1 2013**

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PHILGEPs	No. of Contract Award Posted at PHILGEPs	Total No. of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes	
<b>1. Public Bidding**</b>														
1.1. Goods	8,041,508.40	3	2	6,539,004.72	1	4	3	3	3	2	0	0	2	
1.2. Works														
1.3. Consulting Services	8,041,508.40	3	2	6,539,004.72	1	4	3	3	3	2	0	0	2	
<b>Sub-Total</b>														
<b>2. Alternative Modes</b>														
2.1. Shopping (SZ 1 a above 50K)	4,571,339.94	35	35	3,752,452.78					35	35				
2.1.1 Shopping (SZ 1 B above 50K)	306,427.50	17	17	282,979.00										
2.1.3 Other Shopping	499,065.00	2	2	486,510.00										
2.2. Direct Contracting (above 50K)	227,462.49	14	14	226,777.49						14				
2.2.1 Direct Contracting (50K or less)	920,475.00	1	1	920,475.00						1				
2.2.2 Repeat Order (above 50K)														
2.2.3 Repeat Order (50K or less)														
2.2.4 Limited Source Bidding														
2.5.1 Negotiation (Common-tie Supplies)														
2.5.2 Negotiation (Recognized Government Printers)														
2.5.3 Negotiation (TRF 53.1)	1,053,600.00	1	1	1,053,600.00					1	1				
2.5.4 Negotiation (SVP 53 a above 50K)	11,676,870.51	53	53	9,300,170.56					53	53				
2.5.5 Other Negotiated Procurement (Others above 50K)	25,619,621.55	35	35	25,541,516.55						35				
2.5.6 Other Negotiated Procurement (50K or less)	807,239.93	26	26	761,855.93										
<b>Sub-Total</b>	45,683,201.92	186	186	42,338,137.31					91	144				
<b>3. Foreign Funded Procurement**</b>														
3.1. Public Bid	0.00	0	0	0.00										
3.2. Alternative Modes	0.00	0	0	0.00										
<b>Sub-Total</b>	2,907,996.00	1	1	2,907,996.00										
<b>4. Others, specify: Renewal of Regular and Recurring Services</b>	5,653,270.32	190	189	51,785,338.03									1	
<b>TOTAL</b>														

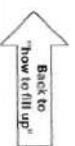
\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

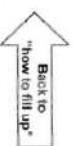
**KEN RYAN B. ELIZAZAR**  
Head, BPC Secretariat

**MELINDA T. DOMINORIS**  
Chairperson, Bids and Awards Committee

**JULIETA V. OPUENCIA**  
BAC-Executive Director



No.	Assessment Conditions	0	1	2	3
PILLAR I: LEGISLATIVE AND REGULATORY FRAMEWORK					
<b>Indicator 1. Competitive Bidding as Default Method of Procurement</b>					
1	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	12.63%	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%
2	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	1.06%	Below 10.00%	Between 20.00- 39.99%	Between 40.00-50.00%
<b>Indicator 2. Limited Use of Alternative Method of Procurement</b>					
3	Percentage of shopping contracts in terms of amount of total procurement	7.29%	Above 7.00%	Between 1.00-7.00 %	Between 3.00-4.99 %
4	Percentage of negotiated contracts in terms of amount of total procurement	22.56%	Above 15.00%	Between 9.00-15.00%	Between 3.00-4.99 %
5	Percentage of direct contracting in terms of amount of total procurement	1.40%	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%
6	Percentage of repeat order contracts in terms of amount of total procurement	1.75%	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%
7	Compliance with Repeat Order procedures	N/A	Not Compliant		
8	Compliance with Limited Source Bidding procedures	Compliant	Not Compliant		
<b>Indicator 3. Competitiveness of the Bidding Process</b>					
9	Average number of entities who acquired bidding documents	1.33	Below 3.00	3.00-3.99	4.00-5.99
10	Average number of bidders who submitted bids	1	Below 2.00	2.00-2.99	3.00-4.99
11	Average number of bidders who passed eligibility stage	1	Below 1.00	1.00 - 1.99	2.00-2.99
12	Sufficiency of period to prepare bids	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
13	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
<b>PILLAR II: AGENCY/ INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b>					
<b>Indicator 4. Presence of Procurement Organizations</b>					
14	Creation of Bids and Awards Committees(S)	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
15	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
<b>Indicator 5. Procurement Planning and Implementation</b>					
16	An approved APP that includes all types of procurement	Compliant	Not Compliant		
17	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
18	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	Not Compliant		
<b>Indicator 6. Use of Government Electronic Procurement System</b>					
19	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%
20	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%
21	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	100.00%	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%
<b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>					
22	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
23	Preparation of Procurement Monitoring Reports using the GPPB prescribed format. Submission to the GPPB, and posting in agency website	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant
<b>PILLAR III: PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>					
<b>Indicator 8. Efficiency of Procurement Processes</b>					
24	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	91.44	Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00%- 80.00%
25	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	66.67	Below 60.00%	Between 60.00- 92.99%	Between 93.00-95.00%
					Above 95.00%



No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
26	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
<b>Indicator 9. Compliance with Procurement Timelines</b>					
27	Percentage of contracts awarded within prescribed period of action to procure goods	100.00%	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99% 100%
28	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	n/a	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99% 100%
29	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99% 100%
<b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b>					
30	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
31	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	Less than 60.00% Trained	between 60.00-75.99% Trained	between 76-90% of staff trained Between 91.00-100% Trained
32	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	Not Compliant		Compliant
<b>Indicator 11. Management of Procurement and Contract Management Records</b>					
33	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	Compliant	Partially Compliant	Substantially Compliant Fully Compliant
34	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	Compliant	Partially Compliant	Substantially Compliant Fully Compliant
<b>Indicator 12. Contract Management Procedures</b>					
35	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
36	Timely Payment of Procurement Contracts	5 days	After 45 days	Between 38-45 days	Between 31-37 days On or before 30 days
<b>PILLAR IV: INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>					
<b>Indicator 13. Observer Participation in Public Bidding</b>					
37	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
<b>Indicator 14. Internal and External Audit of Procurement Activities</b>					
38	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
39	Audit Reports on procurement related transactions	Above 90-100% compliance	Below 60% compliance	between 61-70.99% compliance	between 71.89-99% compliance Above 90-100% compliance
<b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>					
40	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant
<b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>					
41	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	Not Compliant	Partially Compliant	Substantially Compliant Fully Compliant

PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

Period: CY 2023

Sub-Indicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed	
1a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	0.00	Conduct initial steps toward Procurement Planning and Budgeting (Training on Procurement Planning on April 2-3, 2024 in coordination with GPPB-TSO); Review and evaluate PPMR/APP to consolidate all similar procurement through public/competitive bidding instead of alternative modes	Bids and Awards Committee and Secretariat; End-Users; Procurement Focal Persons	FY 2024	Human Resources Complement; Budget for training
1b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00	Conduct initial steps toward Procurement Planning and Budgeting (Training on Procurement Planning on April 2-3, 2024 in coordination with GPPB-TSO); Review and evaluate PPMR/APP to consolidate all similar procurement through public/competitive bidding instead of alternative modes	Bids and Awards Committee and Secretariat; End-Users; Procurement Focal Persons	FY 2024	Human Resources Complement; Budget for training
2a	Percentage of shopping contracts in terms of amount of total procurement	0.00	Implement effective consolidation of procurement activities in coordination with End-Users and Procurement Focal Persons; Review and evaluate PPMR/APP to consolidate all similar procurement through public/competitive bidding instead of alternative modes	Bids and Awards Committee and Secretariat; End-Users; Procurement Focal Persons	FY 2024	Human Resources Complement; Office Equipment/Supplies;
2b	Percentage of negotiated contracts in terms of amount of total procurement	0.00	Implement effective consolidation of procurement activities in coordination with End-Users and Procurement Focal Persons; Review and evaluate PPMR/APP to consolidate all similar procurement through public/competitive bidding instead of alternative modes	Bids and Awards Committee and Secretariat; End-Users; Procurement Focal Persons	FY 2024	Human Resources Complement; Office Equipment/Supplies;
3a	Average number of entities who acquired bidding documents	0.00	Posts Invitation to Bid in social media platforms of PCAF; Encourage supplier to participate in PCAF bidding	Bids and Awards Committee and Secretariat; PNMKMD-KMS	FY 2024	Human Resources Complement; Office Equipment/Supplies; Internet Services
3b	Average number of bidders who submitted bids	0.00	Posts Invitation to Bid in social media platforms of PCAF; Encourage supplier to participate in PCAF bidding	Bids and Awards Committee and Secretariat; PNMKMD-KMS	FY 2024	Human Resources Complement; Office Equipment/Supplies; Internet Services
3c	Average number of bidders who passed eligibility stage	1.00	Posts Invitation to Bid in social media platforms of PCAF; Encourage supplier to participate in PCAF bidding; Ensure that prospective bidders are fully informed of the eligibility documents	Bids and Awards Committee, Technical Working Group, and Secretariat;	FY 2024	Human Resources Complement; Office Equipment/Supplies;

Prepared by:  
  
**KEN R. ELEAZAR**  
 Head, BAC Secretariat

Recommending Approval:  
  
**MELINA RODRIGUEZ**  
 BAC Chairperson

Approved By:  
  
**JULIANA ENCOLENCIA**  
 OIC/Executive Director

